



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/381,032	12/17/1999	ANDREAS BERGMANN	PM263260	3417
909	7590	07/28/2004	EXAMINER	
PILLSBURY WINTHROP, LLP P.O. BOX 10500 MCLEAN, VA 22102			HUYNH, PHUONG N	
			ART UNIT	PAPER NUMBER
			1644	

DATE MAILED: 07/28/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/381,032

Applicant(s)

BERGMANN ET AL.

Examiner

Phuong Huynh

Art Unit

1644

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE Three MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 29 April 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 23-25 and 27-33 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 23-25, and 27-33 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 17 December 1999 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

### DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/29/04 has been entered.
2. Claims 23-25, and 27-33 are pending and are being acted upon in this Office Action.
3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 103(a) that form the basis for the rejections under this section made in this Office Action:

A person shall be entitled to a patent unless:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.
4. This application currently names joint inventors. In considering Patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
5. Claims 23-25, and 27-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Vitti *et al* (of record, Acta Med Austriaca 23(1-2): 52-6, 1996; PTO 892) in view of Harlow *et al* (of record, in Antibodies A Laboratory Manual, Cold Spring Harbor Laboratory 1988, pages 556, 564-591), and Nicholson *et al* (of record, J Mol Endocrinol 16(2): 159-70, 1996; PTO 892) or Morgenthaler *et al* (of record, J Clin Endocrinol Metab 81(2):700-6, Feb 1996, PTO 892).

Vitti *et al* teach a method for the determination of TSH receptor autoantibodies where the autoantibodies mimic TSH (thyroid stimulating antibody) found in Grave's disease (See page 53, column 1, in particular). The reference method comprises purified CHO cell expressing the

Art Unit: 1644

recombinant human thyrotropin receptor that has been transfected with cDNA encoding the human thyrotropin receptor (See abstract, in particular), follows by immobilized the TSH receptor on the host cell to a solid phase such as petri dishes (See page 53, column 2, Cell culture, in particular), or immobilized porcine TSH-receptor to a plate (TRAK assay, page 53, col. 2, TSH-receptor antibodies (TRAb), reacting a liquid sample such as IgG prepared from sera of patients with Graves's disease, separating the a reacted solid phase from the liquid biological sample by centrifugation, washing the reacted solid phase, incubating the solid phase with radiolabeled <sup>125</sup>iodine bovine TSH to either the bovine TSH receptor or the human TSH receptor. The TSH-receptor antibodies (TRAb) are measured by a commercial radioreceptor assay based on inhibition of binding of <sup>125</sup>iodine bovine TSH to porcine TSH-receptor or solubilized human TSH receptor on CHO cells using commercially available assay (TRAK assay, page 53, TSH-receptor Antibodies (TRAb), in particular). Vitti *et al* teach most autoantibodies to TSH receptor are measured by their ability to inhibit the binding of radiolabeled TSH to its receptor present in solubilized thyroid membrane preparations (See page 53, column 1, in particular).

The claimed invention differs from the teachings of the references only in that the method wherein the recombinant human TSH receptor is immobilized to a solid support by a selective monoclonal antibody that recognizes only conformational epitopes of the human TSH receptor and is obtained by immunizing an animal with a DNA plasmid construct encoding the human TSH receptor instead of a cell expressing the human TSH receptor or the bovine TSH receptor.

The claimed invention as recited in claim 25 differs from the teachings of the reference only in that the method wherein the solid phase is formed by the walls of the test tubes, which are precoated with an animal-specific antibody for binding the selective antibody against the receptor.

Harlow *et al* teach various immunoassays for detecting and quantitating any antibodies in a sample or test solution wherein the reference antigen (the TSH receptor in this case) is immobilized to a solid support such as test tube, or multi-well plate using an antibody that binds specifically to the antigen. The test solution or dilution of the test solution (with buffer) containing an unknown amount of antibody such as the auto-TSH receptor antibody is added. Antibodies in the test solution are allowed to bind to the immobilized antigen in the present of radiolabeled TSH and unbound antibodies are removed by washing. The presence of the bound antibodies is detected by direct counting using a gamma counter based on competitive binding of radiolabeled TSH to the TSH receptor. Harlow *et al* teach the advantages of antibody sandwich

Art Unit: 1644

immunoassays are: it is rapid and easy, quantitative, and sensitive with detection limit about 0.01 to 0.1 ng (See page 584, in particular).

Nicholson *et al* teach various human TSH receptor antibodies such as A7, A8, A9, A10 and A11 that bind to various epitopes localized to various regions on the human TSH receptor (See entire document, page 168, in particular). The reference monoclonal antibodies such as A10 and A11 recognize the conformational epitopes of the human TSH receptor since the antibodies bind only to acetone fixation and not by PLP fixation of thyroid section, suggesting the reference antibodies recognize the conformational epitope of the human TSH receptor (See abstract, in particular). Nicholson *et al* teach further teach that the reference human TSH receptor is produced by various cDNA constructs in insect cells as well as in *Escherichia coli* (See page 160, in particular). The reference antibodies are produced by immunizing mice with the human TSH receptors produced by the cDNA constructs (See production of mAbs, in particular). Nicholson *et al* teach the reference antibodies are useful for radiolabeled TSH to porcine membranes in a radioreceptor assay (See Abstract, in particular).

Morgenthaler *et al* teach antibodies such as A7, A9 and A10 that bind specifically to the recombinant human TSH receptor for detecting TSH receptor autoantibodies in Graves' disease (See entire document; abstract, in particular).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the porcine TSH receptor in the commercial TRAK assay as taught by the Vitti *et al* for the recombinant human TSH receptor immobilized by monoclonal antibody that recognized by the conformational epitopes of human TSH receptor as taught by Nicholson *et al* or Morgenthaler *et al* instead of immobilized the recombinant human TSH receptor using the CHO cells as taught by Vitti *et al* for a method of determining TSH receptor autoantibodies in human serum from patient with Grave's disease. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention.

One having ordinary skill in the art would have been motivated to do this because Harlow *et al* teach that the advantages of antibody sandwich immunoassays are: it is rapid, easy, quantitative, and sensitive with a detection limit about 0.01 to 0.1 ng (See page 584, in particular). Nicholson *et al* teach the reference antibodies are useful for radiolabeled TSH to porcine membranes in a radioreceptor assay (See Abstract, in particular). Morgenthaler *et al* teach antibodies such as A7, A9 and A10 to recombinant human TSH receptor are useful for

Art Unit: 1644

detecting TSH receptor autoantibodies in Graves' disease (See entire document; abstract, in particular). Vitti et al teach human TSH receptor has been cloned and are suitable for detection of TSH autoantibodies (See page 53, col. 1, in particular). The recitation of monoclonal antibody that recognizes only conformational epitopes of the human TSH receptor obtained by immunizing an animal with a DNA plasmid construct encoding the human TSH receptor is irrelevant to the claimed method because the antibody is merely used to immobilize the human TSH receptor. Any monoclonal antibody that binds to human TSH receptor is useful for the claimed method as taught by Harlow, Nicholson and Morgenthaler *et al*. Further, the monoclonal antibodies such as A10 and A11 as taught by Nicholson *et al* or Morgenthaler *et al* have the same property as the monoclonal antibody in the claimed method that recognize only conformational epitopes of the human TSH receptor and the reference antibodies are useful for determining TSH receptor autoantibodies from patients with Graves' disease as taught by Nicholson and Morgenthaler *et al*. Since the Patent Office does not have the facilities for examining and comparing the antibodies of the instant invention to those of the prior art, the burden is on applicant to show that the prior art antibodies are different from the antibodies in the claimed method. See *In re Best*, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977).

Applicants' arguments filed 4/29/04 have been fully considered but are not found persuasive.

Applicants' position is that (1) nothing in the primary references whether or not combined with the secondary references, teach the immobilization of a recombinant human TSH receptor to a solid support by a selective monoclonal antibody that recognizes only conformational epitopes of the human TSH receptor and is obtained by immunizing an animal with a DNA plasmid construct encoding the human TSH receptor. (2) Any combination of Vitti et al or the '363 patent in view of Harlow et al, Nicholson and/or Morgenthaler et al is based on impermissible hindsight and does not render the present invention obvious. (3) The secondary references fail to demonstrate why the skilled artisan would have been motivated to combine them with the primary references or even that such a combination would work. (4) To obtain TSH receptors and receptor fragments were not functional TSH receptors (i.e. they did not compete with TSH and/or were not active in cell stimulation tests). Further, Nicholson et al discusses attempts to express recombinant TSH receptors in insect cells and E coli. The obtained receptors or receptor fragments were not functional TSH receptors (i.e. they did not compete with TSH and/or were not active in cell stimulation tests). Neither the obtained receptors or receptor

Art Unit: 1644

fragments or the antibodies obtained using said receptors or receptor fragments were ever successfully used in a solid phase assay for human TSH receptor autoantibodies in a biological fluid. The '461 patent does not render the present invention obvious.

In response to applicants' argument that the recitation of monoclonal antibody that recognizes only conformational epitopes of the human TSH receptor is obtained by immunizing an animal with a DNA plasmid construct encoding the human TSH receptor is irrelevant to the claimed method because the antibody is merely used to immobilize the human TSH receptor, any monoclonal antibody that binds to human TSH receptor is useful for the claimed method as taught by Harlow, Nicholson and Morgenthaler *et al.* Further, the monoclonal antibodies such as A10 and A11 as taught by Nicholson *et al.* or Morgenthaler *et al.* have the same property as the monoclonal antibody in the claimed method that recognize only conformational epitopes of the human TSH receptor and the reference antibodies are useful for determining TSH receptor autoantibodies from patients with Graves' disease as taught by Nicholson and Morgenthaler *et al.* Since the Patent Office does not have the facilities for examining and comparing the antibodies of the instant invention to those of the prior art, the burden is on applicant to show that the prior art antibodies are different from the antibodies in the claimed method. See *In re Best*, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977). Finally, it is an obvious variation of the commercial TRAK assay as taught by Vitti *et al.*

In response to applicants' argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. *In re McLaughlin*, 170 USPQ 209 (CCPA 1971).

In response to applicants' argument that there is no motivation to combine or that such a combination would work, specific statements in the references themselves which would spell out the claimed invention are not necessary to show obviousness, since questions of obviousness involves not only what references expressly teach, but what they would collectively suggest to one of ordinary skill in the art. See *CTS Corp. v. Electro Materials Corp. of America* 202 USPQ 22 (DC SNY); and *In re Burckel* 201 USPQ 67 (CCPA). Further, obviousness does not require absolute predictability, however, at least some degree of predictability is required. Evidence

Art Unit: 1644

showing there was no reasonable expectation of success may support a conclusion of nonobviousness. In re Rinehart, 531 F.2d 1048, 189 USPQ 143 (CCPA 1976). See MPEP 2143.02.

In response to applicants' argument that neither the TSH receptors, nor receptor fragments functional TSH receptors (i.e. they did not compete with TSH and/or were not active in cell stimulation tests) or the antibodies obtained using said receptors or receptor fragments were ever successfully used in a solid phase assay for human TSH receptor autoantibodies in a biological fluid, it is noted that the features upon which applicant relies (i.e., functional TSH receptor, functional receptor fragment) are not recited in the rejected claims 23-29 and 31-32. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See In re Van Geuns, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Further, it is noted that the selective antibody against the human TSH receptor in claims 23-32 is *any* antibody that binds to human TSH receptor and not functional human TSH receptor.

Applicant's arguments with respect to the '461 patent have been considered but are moot in view of the rejection has been withdrawn.

6. Claims 23-25, 27-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Pat No. 5,614,363 (of record, March 1997, PTO 892) in view of Vitti *et al* (of record, Acta Med Austriaca 23(1-2): 52-6, 1996; PTO 892), Harlow *et al* (of record, in Antibodies A Laboratory Manual, Cold Spring Harbor Laboratory 1988, pages 556, 564-591), and Nicholson *et al* (of record, J Mol Endocrinol 16(2): 159-70, 1996; PTO 892) or Morgenthaler *et al* (of record, J Clin Endocrinol Metab 81(2):700-6, Feb 1996, PTO 892).

The '363 patent teaches recombinant human TSH receptor for detection of auto-antibodies found in Graves' patients in the form of a competitive binding assay employing radiolabeled TSH and TSH receptor (See column 7, lines 8-63, column 8, lines 3-11, claim 9 of '363, in particular). The reference purified recombinant human TSH receptor is immobilized on a support matrix (See column 9, line 24-38, in particular). The immobilized human TSH receptor is incubated with excess TSH that has been tagged with a radioactive or fluorescent label, long enough for the binding reaction to come to equilibrium. Unbound TSH is removed by a washing step and the receptor is incubated with the test sample. Once the second binding step has come to equilibrium, the immobilized receptor is washed again. The amount of tagged TSH displaced by



Art Unit: 1644

TSH in the test sample than serves as a measure of TSH present in the sample (See claim 9 of '363 patent, col. 9, lines 24-38, in particular).

The claimed invention differs from the teachings of the reference only in that the method wherein the recombinant human TSH receptor is immobilized to a solid support by a selective monoclonal antibody that recognizes only conformational epitopes of the human TSH receptor and is obtained by immunizing an animal with a DNA plasmid construct encoding the human TSH receptor instead of a cell expressing the human TSH receptor or the bovine TSH receptor.

The claimed invention as recited in claim 25 differs from the teachings of the reference only in that the method wherein the solid phase is formed by the walls of the test tubes, which are precoated with an animal-specific antibody for binding the selective antibody against the receptor.

Vitti *et al* teach a method for the determination of TSH receptor autoantibodies where the autoantibodies mimic TSH (thyroid stimulating antibody) found in Grave's disease (See page 53, column 1, in particular). The reference method comprises purified CHO cell expressing the recombinant human thyrotropin receptor that has been transfected with cDNA encoding the human thyrotropin receptor (See abstract, in particular), follows by immobilized the TSH receptor on the host cell to a solid phase such as petri dishes (See page 53, column 2, Cell culture, in particular), or immobilized porcine TSH-receptor to a plate (TRAK assay, page 53, col. 2, TSH-receptor antibodies (TRAb), reacting a liquid sample such as IgG prepared from sera of patients with Graves's disease, separating the a reacted solid phase from the liquid biological sample by centrifugation, washing the reacted solid phase, incubating the solid phase with radiolabeled <sup>125</sup>iodine bovine TSH to either the bovine TSH receptor or the human TSH receptor. The TSH-receptor antibodies (TRAb) are measured by a commercial radioreceptor assay based on inhibition of binding of <sup>125</sup>iodine bovine TSH to porcine TSH-receptor or solubilized human TSH receptor on CHO cells using commercially available assay (TRAK assay, page 53, TSH-receptor Antibodies (TRAb), in particular). Vitti *et al* teach most autoantibodies to TSH receptor are measured by their ability to inhibit the binding of radiolabeled TSH to its receptor present in solubilized thyroid membrane preparations (See page 53, column 1, in particular).

Harlow *et al* teach various immunoassays for detecting and quantitating any antibodies in a sample or test solution wherein the reference antigen (the TSH receptor in this case) is immobilized to a solid support such as test tube, or multi-well plate using an antibody that binds specifically to the antigen. The test solution or dilution of the test solution (with buffer)

Art Unit: 1644

containing an unknown amount of antibody such as the auto-TSH receptor antibody is added. Antibodies in the test solution are allowed to bind to the immobilized antigen in the present of radiolabeled TSH and unbound antibodies are removed by washing. The presence of the bound antibodies is detected by direct counting using a gamma counter based on competitive binding of radiolabeled TSH to the TSH receptor. Harlow *et al* teach the advantages of antibody sandwich immunoassays are: it is rapid and easy, quantitative, and sensitive with detection limit about 0.01 to 0.1 ng (See page 584, in particular).

Nicholson *et al* teach various human TSH receptor antibodies such as A7, A8, A9, A10 and A11 that bind to various epitopes localized to various regions on the human TSH receptor (See entire document, page 168, in particular). The reference monoclonal antibodies such as A10 and A11 recognize the conformational epitopes of the human TSH receptor since the antibodies bind only to acetone fixation and not by PLP fixation of thyroid section, suggesting the reference antibodies recognize the conformational epitopes of the human TSH receptor (See abstract, in particular). Nicholson *et al* teach further teach that the reference human TSH receptor is produced by various cDNA constructs in insect cells as well as in *Escherichia coli* (See page 160, in particular). The reference antibodies are produced by immunizing mice with the human TSH receptors produced by the cDNA constructs (See production of mobs, in particular). Nicholson *et al* teach the reference antibodies are useful for radiolabeled TSH to porcine membranes in a radioreceptor assay (See Abstract, in particular).

Morgenthaler *et al* teach antibodies such as A7, A9 and A10 that bind specifically to the recombinant human TSH receptor for detecting TSH receptor autoantibodies in Graves' disease (See entire document; abstract, in particular).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to immobilized the recombinant human TSH receptor as taught by the '363 patent using any monoclonal antibody that binds specifically to the human TSH receptor as taught by Nicholson *et al* or Morgenthaler *et al* for a method for determining TSH receptor autoantibodies as taught by the '363 patent, Harlow *et al*, and Vitti *et al*. From the combined teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention.

One having ordinary skill in the art would have been motivated to do this because Harlow *et al* teach that the advantages of antibody sandwich immunoassays are: it is rapid, easy, quantitative, and sensitive with a detection limit about 0.01 to 0.1 ng (See page 584, in

Art Unit: 1644

particular). Nicholson *et al* teach the reference antibodies are useful for radiolabeled TSH to porcine membranes in a radioreceptor assay (See Abstract, in particular). Morgenthaler *et al* teach antibodies such as A7, A9 and A10 to recombinant human TSH receptor are useful for detecting TSH receptor autoantibodies in Graves' disease (See entire document; abstract, in particular). The '363 patent teaches recombinant human TSH receptor for detection of auto-antibodies found in Graves' patients in the form of a competitive binding assay employing radiolabeled TSH and TSH receptor (See column 7, lines 8-63, column 8, lines 3-11, claim 9 of '363, in particular). The recitation of monoclonal antibody that recognizes only conformational epitopes of the human TSH receptor obtained by immunizing an animal with a DNA plasmid construct encoding the human TSH receptor is irrelevant to the claimed method because the antibody is merely use to immobilized the human TSH receptor. Any monoclonal antibody that binds to human TSH receptor is useful for the claimed method as taught by Harlow, Nicholson and Morgenthaler *et al*. Further, the monoclonal antibodies such as A10 and A11 as taught by Nicholson *et al* or Morgenthaler *et al* have the same property as the monoclonal antibody in the claimed method that recognize only conformational epitopes of the human TSH receptor and the reference antibodies are useful for determining TSH receptor autoantibodies from patients with Graves' disease as taught by Nicholson and Morgenthaler *et al*. Since the Patent Office does not have the facilities for examining and comparing the antibodies of the instant invention to those of the prior art, the burden is on applicant to show that the prior art antibodies are different from the antibodies in the claimed method. See *In re Best*, 562 F.2d 1252, 195 USPQ 430(CCPA 1977).

Applicants' arguments filed 4/29/04 have been fully considered but are not found persuasive.

Applicants' position is that (1) nothing in the primary references whether or not combined with the secondary references, teach the immobilization of a recombinant human TSH receptor to a solid support by a selective monoclonal antibody that recognizes only conformational epitopes of the human TSH receptor and is obtained by immunizing an animal with a DNA plasmid construct encoding the human TSH receptor. (2) Any combination of Vitti *et al* or the '363 patent in view of Harlow *et al*, Nicholson and/or Morgenthaler *et al* is based on impermissible hindsight and does not render the present invention obvious. (3) The secondary references fail to demonstrate why the skilled artisan would have been motivated to combine them with the primary references or even that such a combination would work. (4) To obtained TSH receptors and receptor fragments were not functional TSH receptors (i.e. they did not

Art Unit: 1644

compete with TSH and/or were not active in cell stimulation tests). Further, Nicholson et al discusses attempts to express recombinant TSH receptors in insect cells and E coli. The obtained receptors or receptor fragments were not functional TSH receptors (i.e. they did not compete with TSH and/or were not active in cell stimulation tests). Neither the obtained receptors or receptor fragments or the antibodies obtained using said receptors or receptor fragments were ever successfully used in a solid phase assay for human TSH receptor autoantibodies in a biological fluid. The '461 patent does not render the present invention obvious.

In response to applicants' argument that the recitation of monoclonal antibody that recognizes only conformational epitopes of the human TSH receptor is obtained by immunizing an animal with a DNA plasmid construct encoding the human TSH receptor is irrelevant to the claimed method because the antibody is merely used to immobilize the human TSH receptor, any monoclonal antibody that binds to human TSH receptor is useful for the claimed method as taught by Harlow, Nicholson and Morgenthaler *et al.* Further, the monoclonal antibodies such as A10 and A11 as taught by Nicholson *et al* or Morgenthaler *et al* have the same property as the monoclonal antibody in the claimed method that recognize only conformational epitopes of the human TSH receptor and the reference antibodies are useful for determining TSH receptor autoantibodies from patients with Graves' disease as taught by Nicholson and Morgenthaler *et al.* Since the Patent Office does not have the facilities for examining and comparing the antibodies of the instant invention to those of the prior art, the burden is on applicant to show that the prior art antibodies are different from the antibodies in the claimed method. See *In re Best*, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977). Finally, it is an obvious variation of the commercial TRAK assay as taught by Vitti et al.

In response to applicants' argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. *In re McLaughlin*, 170 USPQ 209 (CCPA 1971).

In response to applicants' argument that there is no motivation to combine or that such a combination would work, specific statements in the references themselves which would spell out the claimed invention are not necessary to show obviousness, since questions of obviousness

Art Unit: 1644

involves not only what references expressly teach, but what they would collectively suggest to one of ordinary skill in the art. See *CTS Corp. v. Electro Materials Corp. of America* 202 USPQ 22 (DC SNY); and *In re Burckel* 201 USPQ 67 (CCPA). Further, obviousness does not require absolute predictability, however, at least some degree of predictability is required. Evidence showing there was no reasonable expectation of success may support a conclusion of nonobviousness. *In re Rinehart*, 531 F.2d 1048, 189 USPQ 143 (CCPA 1976). See MPEP 2143.02.

In response to applicants' argument that neither the TSH receptors, nor receptor fragments functional TSH receptors (i.e. they did not compete with TSH and/or were not active in cell stimulation tests) or the antibodies obtained using said receptors or receptor fragments were ever successfully used in a solid phase assay for human TSH receptor autoantibodies in a biological fluid, it is noted that the features upon which applicant relies (i.e., functional TSH receptor, functional receptor fragment) are not recited in the rejected claims 23-29 and 31-32. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Further, it is noted that the selective antibody against the human TSH receptor in claims 23-32 is *any* antibody that binds to human TSH receptor and not functional human TSH receptor.

Applicant's arguments with respect to the '461 patent have been considered but are moot in view of the rejection has been withdrawn.

7. No claim is allowed.
8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phuong Huynh "NEON" whose telephone number is (571) 272-0846. The examiner can normally be reached Monday through Friday from 9:00 am to 5:30 p.m. A message may be left on the examiner's voice mail service. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christina Chan can be reached on (571) 272-0841. The IFW official Fax number is (703) 872-9306.
9. Any information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

Art Unit: 1644

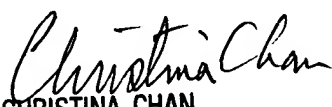
applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Phuong N. Huynh, Ph.D.

Patent Examiner

Technology Center 1600

July 23, 2004

  
CHRISTINA CHAN  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 1600